Please type a plus sign (+) inside this box —> | + Approved for use through 12/30/2000. OMB 0651-0033

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## REISSUE DIVISIONAL PATENT APPLICATION TRANSMITTAL

Address to: **BROADENED REISSUE Assistant Commissioner for Patents Box Patent Application** Washington, DC 20231 **APPLICATION FOR REISSUE OF:** Utility (check applicable box) **APPLICATION ELEMENTS (37 CFR 1.173)** 

> (Submit an original, and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Specification and Claims in a double column copy of patent

Drawing(s) (proposed amendments, if appropriate) 5. Substitute Reissue Oath / Declaration (original or copy)

and copy of Defective Declaration (37 C.F.R. §

1. Tee Transmittal Form (e.g., PTO/SB/56)

format (amended, if appropriate)

No

Written Consent of all Assignees (PTO/SB/53)

Power of

Attorney

1.175)(PTO/SB/51 or 52) 6. Original U.S. Patent currently assigned?

(If Yes, check applicable box(es))

37 C.F.R. § 3.73(b) Statement

(PTO/SB/96)

X Yes

Attorney Docket No.	MTS-520US3	
First Named Inventor	Mitsuaki Oshima	
Original Patent Number	5,761,301	
Original Patent Issue Date (Month/Day/Year)	June 2, 1998	
Express Mail Label No.	EL 817631396 US	_

Patent Design Patent Plant Patent					
ACCOMPANYING APPLICATION PARTS					
7. Statement of status/support for all changes to the claims. See					
37 CFR 1.173(c).					
8. Original U.S. Patent for surrender					
Ribboned Original Patent Grant					
Statement of Loss (PTO/SB/55)					
9. Foreign Priority Claim (35 U.S.C. 119)					
(if applicable)					
10. Information Disclosure Copies of IDS					
Statement (IDS)/PTO-1449 Citations					
11. English Translation of Reissue Oath/Declaration (if applicable)					
12. Preliminary Amendment					
13. Return Receipt Postcard (MPEP 503)					
(Should be specifically itemized)					

Other: Copy of Offer To Surrender; ...

		14. CORRESPONDENCE	ADDRESS	
Custor	mer Number or Bar Code Label	(Insert Customer No. or Attach bar o	or 🔀	Correspondence address below
Name	Allan Ratner			
1101110	<u> </u>			
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City	Valley Forge	State PA	Zip Code	19482
Country	United States	Telephone 610-407-0700	Fax	610-407-0701
NAME	(Print/Type) Allan Ratner	Registration	n No. (Attorney/Agent)	19,717
Signati	ure Ì		Doto	Danamban 7 0004

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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REISSUE DIVISIONAL APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional) MTS-520US3

		INAMONI	IIAL	OKW							
	· · · · · · · · · · · · · · · · · · ·			Claims a	s File	ed - Part 1					
Claims in Patent For		Number Filed in		(3)			nall Entity		Other than a Small Entity		
		For	Reissue	ssue Application		nber Extra	Rate	Fee		Rate	Fee
(A) 43		al Claims CFR 1.16(j))	(B) 03 (D) 01		* 00	0 =	Vr		or	X\$	
(C) 07	Ind	ependent			* 00	) =				=	
	Ciaims (	Claims (37 CFR 1.16(i))				=   X\$ =				X\$ =	
				Ва	sic F	ee (37 CF	R 1.16(h))	\$			\$ <u>740.00</u>
					Tota	al Filing Fe	е	\$		OR	\$740.00
				Claims as /	Amer	nded - Part	2				
		(1) Claims Remaining		(2) Highest Nun		(3) Extra	Small	Entity	<u> </u>	Other than	a Small Entity
		After Amendment		Previousl Paid For	у	Claims Present	Rate	Fee		Rate	Fee
Total Clair (37 CFR 1.16		<b>介本</b> 文	MINUS	**		-	X\$ =		or	X\$ =	
Independent Claims (37 C		**:	MINUS	****		=	X\$ =			X\$ =	
	Total Additional Fee \$ OR \$							\$			
* If the entry in (D) is less than the entry in (C), Write "0" in column 3.  ** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.  *** After any cancellation of claims  **** If "A" is greater than 20, use (B -A); if "A" is 20 or less, use (B - 20).  ***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).  Applicant claims small entity status. See 27 CFR 1.27.  Please charge Deposit Account No in the amount of											
А	A duplicate copy of this sheet is enclosed.										
☐ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 18-0350.       A duplicate copy of this sheet is enclosed.											
$\boxtimes$ A check in the amount of \$ $740.00$ to cover the filing / additional fee is enclosed.											
Payment by credit card. Form PTO-2038 is attached.											
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on form PT 3-2038											
December 7, 2001											
Date	Date Signature of Applicant, Attorney or Agent of Record										
						All	an Ratner,			717	
							Typed or	printed n	ame		

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)				Docket No.		
Applicant(s): M. Oshima et al.				MTS-520US3		
Serial No.	o. Filing Date Examiner Group A			Group Art Unit		
To Be Assigned Herewith						
Invention: MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL DISK AND METHOD PRODUCING OPTICAL DISK						
I hereby certify that the following correspondence:						
Reissue Divisional Application and its related enclosures						
¥ 2	(Identify type of	f correspondence)				
Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on December 7, 2001  Kathleen Libby  (Typed or Printed Name of Person Mailing Correspondence)						
The second secon	(Signature of Person Mailing Correspondence)					
EL817631396  ("Express Mail" Mailing Label Number)						
Note: Each paper must have its own certificate of mailing.						
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Oshima et al.

: Art Unit: 2132

Serial No.: 09/588,364

: Examiner: S. Kabakoff

Filed: June 2, 2000

FOR: MARK FORMING APPARATUS,

METHOD OF FORMING LASER MARK ON

OPTICAL DISK, REPRODUCING

APPARATUS, OPTICAL DISK AND

METHOD OF PRODUCING OPTICAL DISK



## CERTIFICATE UNDER 37 CFR 3.73 (b)

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

Matsushita Electric Industrial Co., Ltd., a Japanese Corporation certifies that it is the assignee of the entire right, title and interest in U.S. Patent No. 5,761,301, which issued from application number 08/560,015, filed on November 17, 1995 by virtue of an assignment from the inventors. The assignment was recorded in the Patent and Trademark Office at Reel 7884, Frame 0122, for which a copy thereof is attached.

The undersigned has reviewed all the documents in the chain of title from patent application 08/560,015 to U. S. Patent No. 5,761,301 and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date	October 1, 2001
Name	: H. Kitagawa
Title	Artherized Statute Officer
Signature	: